

3. All envelopes utilized in the service of the foregoing contained the following legend:
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this
5th day of January, 2016

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2018

EXHIBIT A

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.
08-13555 (SCC)
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID *** 000101311927 *** LBH TRFNTC (MERGE2, TXNUM2) 4000124890



DEUTSCHE BANK AG
ATTN: CHIP GOODRICH, ESQ.
60 WALL STREET
NEW YORK, NY 10005-2858

DEUTSCHE BANK AG
BINGHAM MCCUTCHEN LLP
ATTN: RANAN WELL, ESQ.
2020 K STREET, NW
WASHINGTON, DC 20006

Please note that your claim # 26969-27 in the above referenced case and in the amount of
\$25,000,000.00 allowed at \$25,000,000.00 has been transferred (unless previously expunged by court order)

EMPYREAN INVESTMENTS LLC
TRANSFEROR: DEUTSCHE BANK AG
ATTN: STERLING HATHAWAY
10250 CONSTELLATION BLVD., SUITE 2950
LOS ANGELESE, CA 90067

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 420 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 12/30/2015

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 30, 2015.

EXHIBIT B

Claim Name	Address Information
DEUTSCHE BANK AG	ATTN: CHIP GOODRICH, ESQ., 60 WALL STREET, NEW YORK, NY 10005-2858
DEUTSCHE BANK AG	BINGHAM MCCUTCHEN LLP, ATTN: RANAN WELL, ESQ., 2020 K STREET, NW, WASHINGTON, DC 20006
DEUTSCHE BANK AG	ATTN: CHIP GOODRICH, ESQ., 60 WALL STREET, NEW YORK, NY 10005-2858
DEUTSCHE BANK AG	BINGHAM MCCUTCHEN LLP, ATTN: RANAN WELL, ESQ., 2020 K STREET, NW, WASHINGTON, DC 20006
EDMOND DE ROTHSCHILD (SUISSE) SA	TRANSFEROR: UBS AG, ATTN: MARTINE BOILLON, 18 RUE DE HESSE, GENEVA 1204 SWITZERLAND
EMPYREAN INVESTMENTS LLC	TRANSFEROR: DEUTSCHE BANK AG, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS LLC	TRANSFEROR: DEUTSCHE BANK AG, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELESE, CA 90067
MORGAN STANLEY CAPITAL SERVICES, LLC	ATTN: CHIEF LEGAL OFFICER, 1585 BROADWAY, NEW YORK, NY 10036-8293
MORGAN STANLEY CAPITAL SERVICES, LLC	CADWALADER WICKERSHAM & TAFT LLP, ATTN: MARK C. ELLENBERG, 700 SIXTH STREET, NW, WASHINGTON, DC 20001
MORGAN STANLEY CAPITAL SERVICES, LLC	CADWALADER, WICKERSHAM & TAFT LLP, ATTN: HOWARD R. HAWKINS, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
MORGAN STANLEY CAPITAL SERVICES, LLC	ATTN: COUNTERPARTY PORTFOLIO MANAGEMENT GROUP, 1585 BROADWAY, 3RD FLOOR, NEW YORK, NY 10036-8293
UBS AG	TRANSFEROR: EDMOND DE ROTHSCHILD (SUISSE) SA, BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: MORGAN STANLEY CAPITAL SERVICES, LLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402

Total Creditor Count 13
